

Privacy Policy

We know how important it is to you that we handle your personal information with care, so we appreciate that you trust Baader & Heins Capital Management AG to handle this information diligently. This declaration indicates that you give your consent for Baader & Heins Capital Management AG to collect, process and use your personal data in accordance with the German Data Protection Act (Bundesdatenschutzgesetz, BDSG) and the EU's General Data Protection Regulation (GDPR) for the purposes outlined here. You may revoke your consent at any time for this use of information in the future.

Please note that the Baader & Heins Capital Management AG website contains links to third-party websites which are not covered by this data protection declaration.

No personal data is requested when you visit our website. Information is saved regarding the Internet Protocol Address (IP) that connects your computer to the Internet, delivery and read confirmations of e-mails, login details, e-mail addresses, passwords and information concerning the computer and Internet connection, such as browser type and version, operating system and platform and the complete Uniform Resource Locator (URL) click stream to, through and from our website, i.e. the sequence in which you view the pages on our website, including the date and time, cookie number and the products you searched for or viewed. Your user profiles will be saved in anonymous format only. Any other personal and business data you share is provided voluntarily should you decide to contact us or use our newsletter or ordering service.

Use and disclosure of our customers' personal data; limitation of purpose

Personal data is collected, stored and processed solely on a voluntary basis, for instance to make an offer, accept an order, register for events, or in other similar situations. We agree to maintain the confidentiality of all data, whether this information has been saved automatically or supplied by you, and we will only disclose details of your e-mail address to our providers for the purposes of saving and distributing e-mails, faxes and mail. This information will not be disclosed or sold to third parties. You may withdraw your consent to the collection, storage and processing of your data in writing at any time, unless you are involved in an ongoing business relationship with our company and the collection, storage and processing of your data is necessary in this context.

Personal data is not automatically supplied to government institutions and authorities, but in line with the applicable financial legislation (for instance, the German Stock Exchange Act and the German Securities Trade Act). Our staff and service providers have a duty to maintain data confidentiality.

Note

If you have any questions regarding data protection at Baader & Heins Capital Management AG, please send us an email to datenschutz@baaderheins.de, providing as many details as you can. Our in-house data protection officer will deal with your enquiry. Upon request, and in accordance with applicable law, we will inform you in writing whether or not we have any personal data on file for you, and if so, what kind of personal data we have stored.

Your consent forms the legal basis for the collection and processing of your data. Baader & Heins Capital Management AG is unable to provide its services without this information.

Baader & Heins Capital Management AG takes suitable technical and organisational security measures to protect your data from inadvertent or deliberate manipulation, loss, damage or

access by unauthorised persons. The security measures are improved on an ongoing basis in line with technological developments.

Information to be supplied when personal data have been obtained from the data subject in accordance with Article 13 of the GDPR

Baader & Heins Capital Management AG processes personal data which is collected from customers. We therefore inform you of the following:

Name and contact details of the responsible office:

Baader & Heins Capital Management AG

Weihenstephaner Straße 4

85716 Unterschleißheim

Germany

Members of the management board: Andree Heins (CEO), Markus Britzl

T +49 89 231800 0

F +49 89 231800 10

Email kontakt@baaderheins.de

Contact details for the data protection officer:

Baader & Heins Capital Management AG

Weihenstephaner Straße 4

85716 Unterschleißheim

Germany

Email datenschutz@baaderheins.de

The data subject also has the right to complain to the Bavarian data protection authorities. This complaint may be submitted in writing, verbally or online using the following contact information:

Bayerisches Landesamt für Datenschutzaufsicht (BayLDA)

Promenade 27

91522 Ansbach

T +49 (0) 981 53 1300

F +49 (0) 981 53 98 1300

Email poststelle@lda.bayern.de

Online complaints www.lda.bayern.de